



Express Mail EV 216 832 316 US PTO/SB/21 (08-03)

Approved for use through 07/31/2006. OMB 0651-0031

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**TRANSMITTAL
FORM**

(to be used for all correspondence after initial filing)

TRANSMITTAL FORM (to be used for all correspondence after initial filing)		Application Number	10/651,694
		Filing Date	August 29, 2003
		First Named Inventor	Sockman, et al.
		Art Unit	1761
		Examiner Name	Not Yet Assigned
Total Number of Pages in This Submission	23	Attorney Docket Number	12781/291184

ENCLOSURES (check all that apply)

<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input checked="" type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/ Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input type="checkbox"/> Other Enclosure(s) (please identify below): Express Mail Certificate EV 216 832 316 US; PTO/SB/08A; 20 References; and Return Postcard
Remarks		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	Cynthia B. Rothschild, Reg. No. 47,040 Kilpatrick Stockton LLP
Signature	
Date	February 26, 2004

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.			
Typed or printed name	Sent via express mail		
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This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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02-27-07

EXPRESS MAIL CERTIFICATE

"Express Mail" Label No. : EV 216 832 316 US

Serial No. : 10/651,694

Applicant(s) : Sockman, et al.

Filing Date : August 29, 2003

Title: : Method and Apparatus for Applying Glaze or Other Coatings
To Food Products

Examiner : Not Yet Assigned

Group Art Unit : 1761

Type of Document(s) : Express Mail Certificate;
Transmittal Form PTO/SB/21;
Information Disclosure Statement (in duplicate);
PTO/SB/08A;
20 References; and
Return Postcard

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Kay Farmer (signature)
Kay Farmer

Date Mailed: February 26, 2004



EV 216 832 316 US
10/651,694

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of : Sockman, et al.
Serial No. : 10/651,694
Filed : August 29, 2003
For : METHOD AND APPARATUS FOR APPLYING GLAZE OR
OTHER COATINGS TO FOOD PRODUCTS
Examiner : Unassigned
Group Art Unit : 1761

Commissioner of Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

INFORMATION DISCLOSURE STATEMENT

Pursuant to 37 C.F.R. §§ 1.56, 1.97, and 1.98, Applicants submit herewith on Form PTO/SB/08A a listing of Twenty (20) documents known to the Applicants and/or their attorney. Applicants respectfully request consideration of the cited documents and making the same of record in the prosecution of the above-identified application. In so doing, Applicants do not waive any rights to appropriate action to establish patentability over any of the listed documents should they be applied as references against the claims of the present application, or to establish that such references are not prior art. Copies of the documents are enclosed, numbered and labeled for identification purposes.

This statement should not be construed as a representation that more material information does not exist or that a search of the relevant art has been made. It is respectfully requested that the references listed on the attached form PTO/SB/08A be expressly considered by the Examiner, made of record in the application, and appear among the "References Cited" on any patent to issue therefrom.

This Information Disclosure Statement is being submitted prior to the mailing of a first substantive Office Action in this application, and, therefore, no certification or fee is required (37 C.F.R. § 1.97b(3)). However, should any fees be due, the Commissioner is authorized to charge such fees to Deposit Account No. 16-1435. A duplicate of this sheet is attached for that purpose.

Respectfully submitted,

Date: February 26, 2004


Cynthia B. Rothschild, Reg. No. 47,040

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Attorney Docket No.: 12781/291184



Express Mail EV 216 832 316 US

PTO/SB/08A (10-01)

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Substitute for form 1449A/PTO

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(use as many sheets as necessary)

Sheet

1

of

2

Complete if Known

Application Number	10/651,694
Filing Date	August 29, 2003
First Named Inventor	Sockman, et al.
Art Unit	1761
Examiner Name	Not Yet Assigned
Attorney Docket Number	12781/291184

U.S. PATENT DOCUMENTS

Examiner	Cite No. ¹	Document Number		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code ² (if known)			
	1	4,025,775		5/24/1977	Beauvais et al.	
	2	4,338,911		7/13/1982	Smith	
	3	4,438,572		3/27/1984	Kaminski	
	4	4,457,291		7/3/1984	Henke	
	5	4,462,383		7/31/1984	Henke et al.	
	6	4,479,776		10/30/1984	Smith	
	7	4,510,601		4/9/1985	Delpit et al.	
	8	4,523,391		6/18/1985	Smith et al.	
	9	4,539,469		9/3/1985	Gigandet	
	10	4,591,333		5/27/1986	Henke	
	11	4,615,014		9/30/1986	Gigandet et al.	
	12	4,626,661		12/2/1986	Henke	
	13	4,679,542		7/14/1987	Smith et al.	
	14	4,781,169		11/1/1988	Henke et al.	
	15	4,846,143		7/11/1989	Csadenyi	
	16	5,131,841		7/21/1992	Smih	
	17	5,401,940		3/28/1995	Smith et al.	
	18	6,511,689		1/28/2003	Lowry, et al.	
	19	20020035931		3/28/2002	Tschopp et al.	

Examiner Initials*	Cite No. ¹	Foreign Patent Document			Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Country Code ³	Number ⁴	Kind Code ⁵ (if known)			

Examiner
SignatureDate
Considered

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Applicant's unique citation designation number (optional). ² Kind Codes of U.S. Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet **2** of **2**

Complete if Known

Application Number	10/651,694
Filing Date	August 29, 2003
First Named Inventor	Sockman, et al.
Art Unit	1761
Examiner Name	Not Yet Assigned
Attorney Docket Number	12781/291184

OTHER DOCUMENTS

Examiner Initials *	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	
	20	Product information: Thermoglaze Frozen Doughnut Processing System, available at www.belshaw.com	

Examiner Signature		Date Considered	
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Applicant's unique citation designation number (optional). ² Applicant is to place a check mark here if English language Translation is attached.

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